

# Preventing Alcohol-Related Harm in East Africa: Stakeholder Perceptions of Readiness across 5 Countries.

Monica H. Swahn & Colleagues

Uganda Alcohol Policy Conference  
Kampala, Uganda  
Nov. 24, 2022



**KENNESAW STATE**  
**UNIVERSITY**  
WELLSTAR COLLEGE OF HEALTH  
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## Project Initiation

Launched collaboration with the West Africa Alcohol Policy Alliance at the Global Alcohol Policy Conference in Dublin (March, 2020). And, then replicated the project with the East Africa Alcohol Policy Alliance



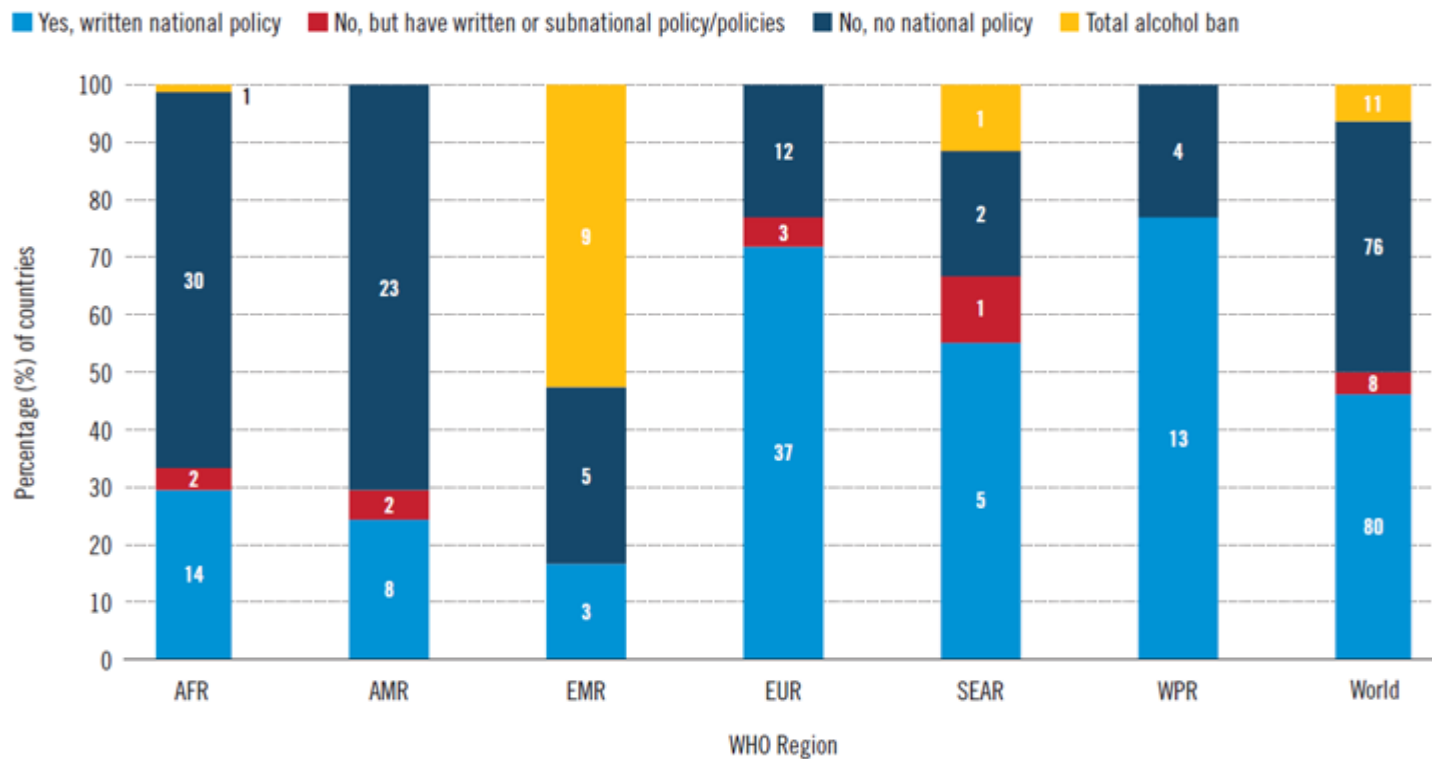
# Burden of Alcohol in Global Context



- Worldwide, 3 million deaths every year result from harmful use of alcohol, this represent 5.3 % of all deaths.
- The harmful use of alcohol is a causal factor in more than 200 disease and injury conditions.
- Best Buys for Prevention is to:
  - Increase Price
  - Reduce Availability
  - Reduce Access
  - Reduce Marketing

**Figure 5.1** Presence of a written national alcohol policy by WHO region and percentage (in %) of countries, 2016

(n = 175 reporting countries)



Note: The numbers in each coloured bar indicate the number of countries in that category, whereas the length of each coloured bar indicates the percentage of countries in the category.

## Alcohol Problem in East Africa

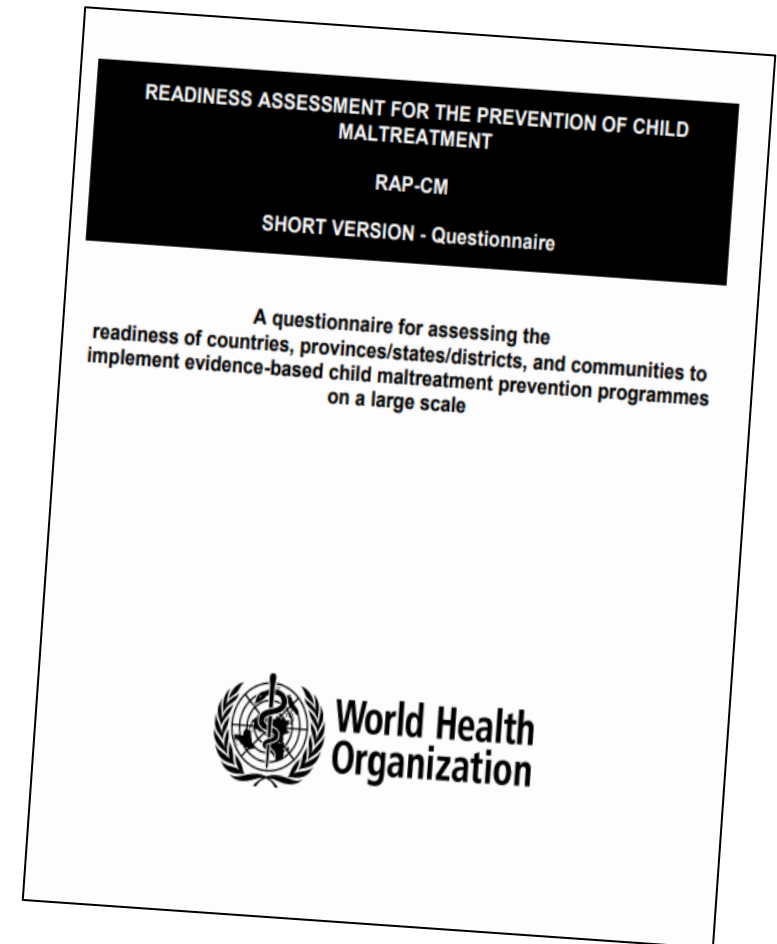
- Alcohol is commonly used among youth
- Alcohol is poorly regulated
- Alcohol legal drinking age of 18 is NOT enforced
- Alcohol is heavily promoted to youth
- Alcohol is often cheaper than water
- Alcohol fuels violence, injuries, HIV/AIDS...

*A Significant Public Health Concern  
compounded by a self-regulated alcohol industry!*

## We Could Not Find any Tools Available for Addressing Readiness to Address Alcohol-Related Harm

- Research on alcohol-related harm, harm reduction initiatives, and evaluated alcohol interventions are largely missing in the literature across sub-Saharan Africa ([Francis, Cook, Morojele, & Swahn, 2020](#) ).
- There are no tools that we know of, that have been employed systematically to assess the strengths and weaknesses for the readiness to address alcohol-related harm in these regions (or any region), representing a critical barrier to progress for both practice and policy.
- Given recent research highlighting the burden of alcohol harm across East Africa, development of such a tool is an urgent priority for alcohol research, capacity building, and policy development.

- In this study, we modified the Readiness Assessment for the Prevention of Child Maltreatment (RAP-CM) tool developed by the WHO (WHO, 2013 ) to be used in the Readiness Assessment for the Prevention of Alcohol-Related Harm (RAP-ARH) in low-resource settings.
- **This tool was developed as a method for assessing how “ready” a country, region, or community may be to implement prevention programs on a larger scale (WHO, 2013 ).**
- It consists of a 10-dimensional model of readiness and incorporates stakeholders’ attitudes, perceptions, and knowledge of child maltreatment, the availability of data on child maltreatment, the willingness to take action to address child maltreatment, and the legal, policy, human, and technical resources available to prevent child maltreatment (WHO, 2013 ).
- The RAP-CM has been implemented successfully in many low- and middle-income countries (e.g., the Middle East, Brazil, Macedonia, Malaysia, Kenya, and South Africa) ( Al Eissa et al., 2019 ; Al Saadoon, Al Numani, Saleheen, Almuneef, & Al-Eissa, 2020 ; Alkhawari et al., 2020 ; Almuneef et al., 2014 ; Shanley et al., 2021 ; World Health Organization n.d.-a ).



Available: [https://cdn.who.int/media/docs/default-source/documents/child-maltreatment/rap-cm-short-questionnaire.pdf?sfvrsn=b8bb2a05\\_2](https://cdn.who.int/media/docs/default-source/documents/child-maltreatment/rap-cm-short-questionnaire.pdf?sfvrsn=b8bb2a05_2)



Given the substantial harm associated with alcohol, including both injuries and acute and long-term diseases, the World Health Organization launched SAFER in 2018, an initiative promoting five evidence-based strategies to address alcohol harm. This initiative “focuses on five key alcohol policy interventions that are based on accumulated evidence of their impact on population health and their cost-effectiveness.”



# Methods

- We conducted a cross-sectional online survey in Collaboration with the East African Alcohol Policy Alliance Capacity Assessment Survey (EAAPACAS), with stakeholders engaged in alcohol prevention, outreach, and policy development.
- The purpose of the surveys was to assess perceptions of alcohol harm and capacity for alcohol prevention research and policy development in the two regions.
- The EAAPA survey was disseminated in October through December, 2020.
  - Participants received invitations for the surveys on social media platforms, including Facebook and WhatsApp.
  - In total, 172 participants responded the survey, but the current analyses are based on 142 persons/organizations that had complete data for the capacity assessment questions.
  - No compensation was provided for taking the survey.
  - The survey was anonymous and received approval from the Georgia State University Institutional Review Board (deemed exempt).

## Results

- Overall, among the 142 participants included in the analytic sample, organizations represented NGOs (56%), CBOs (17%), governmental organizations (8%), universities (6%), international organizations (1%), research institutes (1%), and other/unspecified (11%).
- The countries that were represented among survey respondents included Kenya (30%), Uganda (29%), Tanzania (25%), Burundi (10%), and Rwanda (6%).
- The overall readiness score for East Africa was 39.7% (ranging from 30.5% in Burundi to 47% in Kenya).
- Of the 10 dimensions (D1–D10), ranging in scores from 0–10, the highest score in this region pertained to D2: Knowledge of alcohol prevention (8.4); D7: Institutional links and resources (6.15) and D5: Legislation, mandates and policies (5.5). However, there were substantial variations across countries, specifically for D5: Legislation, mandates and policies, where Burundi and Rwanda had lower scores.
- With respect to legislation, mandates, and policies, 57% of participants across East Africa indicated yes to governmental and non-governmental agencies officially mandated to address alcohol-related harm. However, with respect to whether an official policy exists or is in place that specifically addresses alcohol-related harm, only 47.9% of participants said yes.

Table 1. Mean Dimension Scores from the Readiness Assessment for Prevention of Alcohol-Related Harm using a 10-Point Scale across Ten Domains (D1–D10) in Five Countries (EAAPACAS; n = 142).

	Burundi	Kenya	Rwanda	Tanzania	Uganda	East Africa
D1: Attitudes towards alcohol prevention	1.96	3.16	4.16	2.85	2.74	2.97
D2: Knowledge of alcohol prevention	8.65	8.72	7.81	7.92	9.06	8.43
D3: Scientific data on alcohol prevention	1.61	4.23	3.33	2.99	3.97	3.23
D4: Current programs and evaluation	1.73	3.57	2.86	3.26	3.78	3.04
D5: Legislation, mandates, and policies	3.4	7.2	4.72	5.35	6.65	5.46
D6: Will to address the problem	1.96	3.45	3.05	2.99	3.54	3.0
D7: Institutional links and resources	6	6.56	5	6.13	7.08	6.15
D8: Material resources	0.72	4.05	1.11	2.99	3.23	2.42
D9: Human and technical resources	2.5	3.39	1.67	2.99	2.8	2.67
D10: Informal social resources (non-institutional)	1.97	2.62	1.94	2.85	2.2	2.32
Overall Adjusted Aggregate Score %:	30.5	47.0	35.7	40.32	45.05	39.70

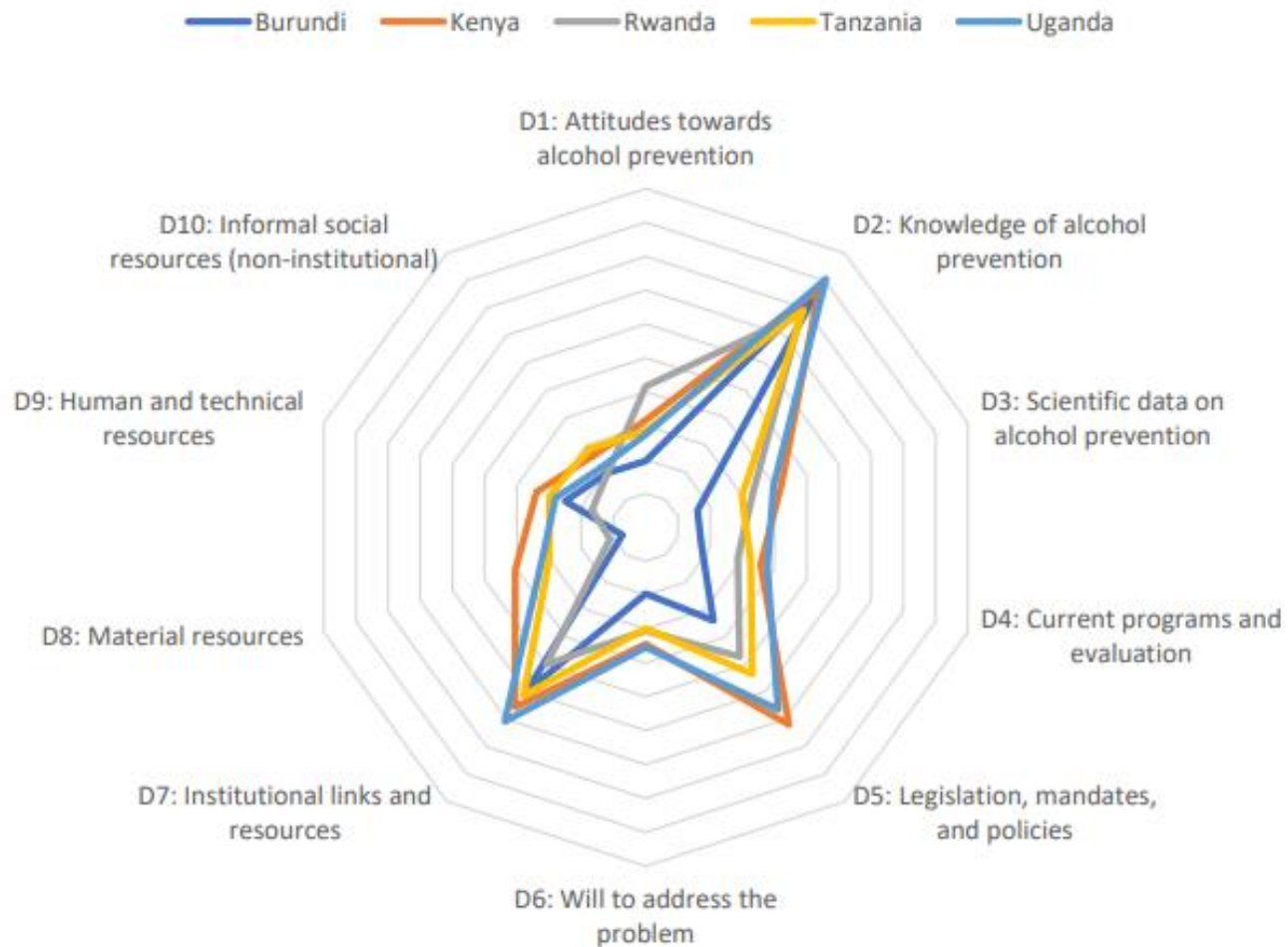


Figure 1. Mean dimension scores from the readiness assessment for prevention of alcohol-related harm using a 10-Point Scale across ten domains (D1–D10) in five countries (EAAPACAS; n = 142).

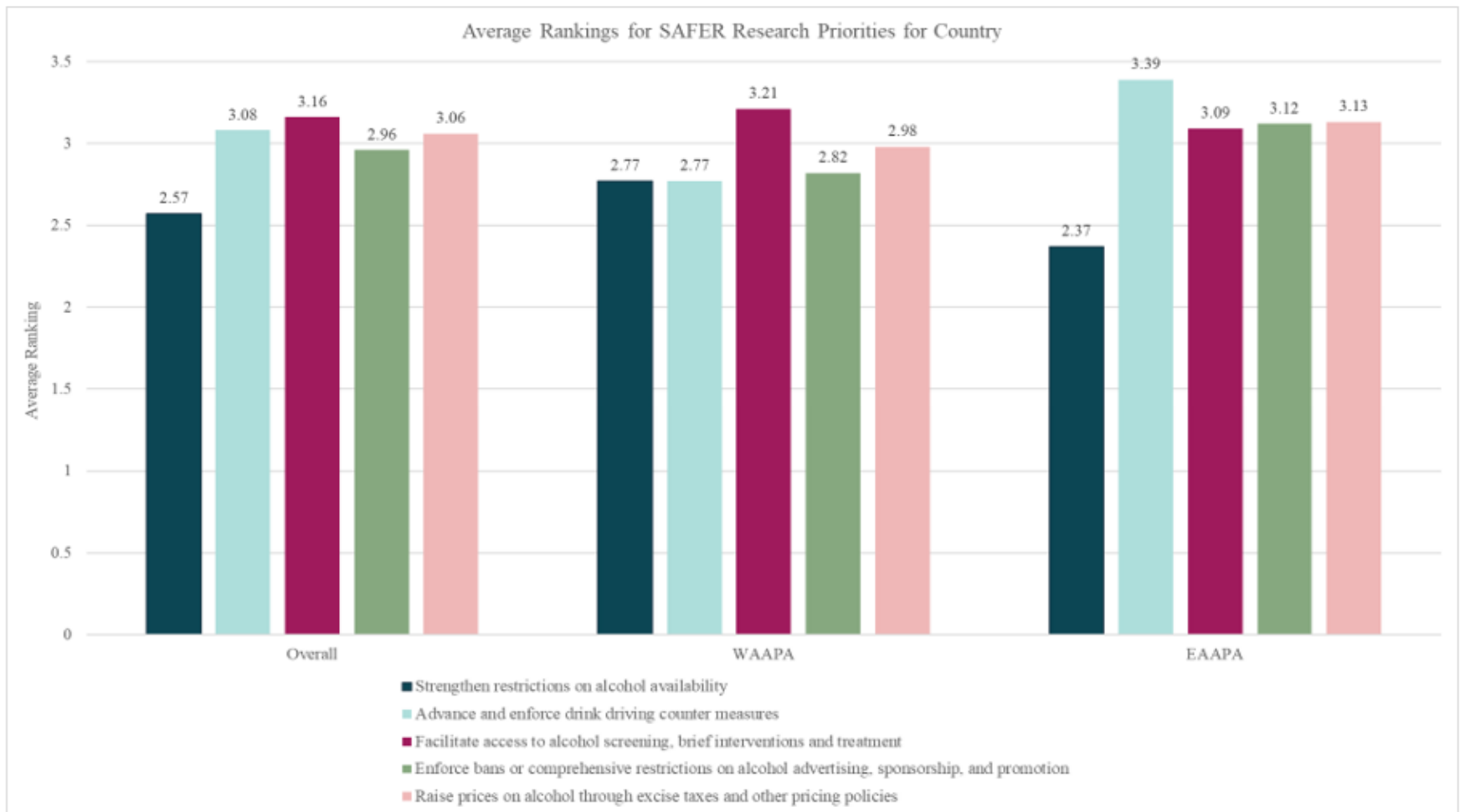
**Table 1:** Country-level percentage of respondents who are familiar with the WHO SAFER Priorities from WAAPACAS and EAAPACAS (n=173)\*

Category	# Yes (% Yes)	# No (% No)
<b>Overall**</b>	<b>90 (52%)</b>	<b>83 (48%)</b>
<b>EAAPA Total**</b>	<b>45 (56%)</b>	<b>35 (44%)</b>
EAAPA – Uganda	16 (62%)	10 (38%)
EAAPA – Kenya	18 (69%)	8 (31%)
EAAPA – Tanzania	8 (42%)	11 (58%)
EAAPA – Rwanda	1 (20%)	4 (80%)
<b>WAAPA Total**</b>	<b>45 (48%)</b>	<b>48 (52%)</b>
WAAPA – Nigeria	16 (67%)	8 (33%)
WAAPA – Sierra Leone	12 (57%)	9 (43%)
WAAPA – The Gambia	5 (42%)	7 (58%)
WAAPA – Liberia	4 (44%)	5 (56%)
WAAPA – Burkina Faso	2 (25%)	6 (75%)
WAAPA – Senegal	2 (29%)	5 (71%)
WAAPA - Ghana	2 (40%)	3 (60%)
<b>Type of Organization</b>		
Non-governmental organization	52 (53%)	46 (47%)
Community-based organization	18 (47%)	20 (53%)
Other/Blank	7 (50%)	7 (50%)
Governmental organization (ministry, department, local government, etc.)	7 (64%)	4 (36%)
University	3 (50%)	3 (50%)
International organization	3 (50%)	3 (50%)

\*137 participants did not answer this question.

\*\*Note that only countries with at least 5 respondents are displayed in this table, so the rows do not aggregate to the total row.

**Chart 1: Average Rankings – The SAFER Initiative Outlines 5 Key Priorities –Which do you think are the most important in your country? (lower ranking = higher importance)\***



## Discussion

- In this study, we sought to determine the readiness for preventing alcohol-related harm in East Africa by modifying an existing tool that has been developed by the WHO and used to assess readiness for the prevention of child maltreatment (RAP-CM) in low- and middle-income countries and communities.
- Our findings, based on the modified tool we refer to as the RAP-ARH, demonstrate a high perceived knowledge of alcohol-related harm and strong legislative mandates and policies across East Africa, although variations between countries were noted.
- The limited awareness (about half of participants) of the WHO SAFER priorities stands out as critically important. If stakeholders already directly or indirectly involved in alcohol harm prevention are unaware of the WHO SAFER priorities, then little progress can be expected in advancing these strategies.
- Our findings also noted weaknesses in several areas that are cause for great concern as they will hinder progress in addressing alcohol-related harm.
- These concerns, when taken together, reflect major gaps in capacity and represent significant obstacles to progress in alcohol-related harm reduction.

## Limitations

- The sample size of respondents/organizations (n = 142) may limit the generalizability of the results, and this concern may be compounded when examining findings by country.
- Some bias most likely exists in who chose to respond to the survey, since those most interested in alcohol-related programs and prevention were invited to take the survey.
- The EAAPA disseminated the survey to their engaged alliances and stakeholders. Accordingly, those organizations not affiliated with the alcohol policy alliances may not have been invited to participate.
- The intent of the survey was to assess the capacity of stakeholders who are most familiar and engaged in alcohol-related harm prevention. As such, the approach and survey distribution did not target governments or its representatives, or academic institutions specifically.



## Strengths

- The findings from this survey and readiness assessment identify key themes, strengths, and limitations in the field of alcohol-related harm prevention.
- However, this assessment was not designed to imply precision in the findings in the region or for a specific country, but instead serve to identify broad issues for further discussion and research with the goal of strengthening the readiness for the prevention of alcohol-related harm.
- To our knowledge, this is the first effort to understand the readiness across domains for preventing alcohol-related harm in a low-resource setting.
- As a first step, it demonstrates the feasibility of a new methodological approach and the utility of a modified, easy to-use tool in alcohol-related harm research and capacity building that may be delivered in community settings and also online as we did in this study. To our knowledge, none of the previously published RAP-CM short forms had been implemented online.

## Concluding Thoughts

- We find that this modified tool (RAP-ARH) has been helpful in identifying the domains most in need of attention by stakeholders to make progress in the prevention of alcohol-related harm in a region that has been understudied.
- Our readiness assessment for the prevention of alcohol-related harm outlines clear priorities for next steps to determine the best strategies for building capacity within East Africa and to mitigate the harm caused by alcohol.
- Additionally, increased efforts are needed to promote and implement the SAFER initiatives.
- The findings point to the urgent attention needed to focus on developing **human and technical as well as informal social resources, shifting attitudes towards the prevention of alcohol-related harm, and strengthen the willingness to address alcohol-related** harm as these domains scored the lowest in terms of readiness in the region and will serve as significant obstacles for progress.

## Manuscripts Published from the Same Surveys

### Research Capacity and Needs for Alcohol-Related Harm Prevention in West Africa: Findings From a Cross-Sectional Survey of Stakeholders

MONICA H. SWAHN, PH.D., M.P.H.,<sup>1,4,5,\*</sup> ADELAIDE BALENGER, M.P.H.,<sup>1,6</sup> FRANKLIN UMENZE, MRCES,<sup>1,4</sup> EMEKA DUMBILLI, PH.D.,<sup>1,4</sup> BOI-JENEH JALLOH, M.A.,<sup>7</sup> & ISIDORE OBOT, PH.D., M.P.H.<sup>1,8</sup>

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#### RESEARCH ARTICLE

### Impact of the COVID-19 Pandemic on Alcohol Treatment Access and Harm Prevention in West Africa: Reports from and Community-Based Organizations

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### Preventing Alcohol-Related Harm in East Africa: Stakeholder Perceptions of Readiness across Five Countries

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**Abstract:** Objective: While alcohol-related harm is a recognized public health priority, the capacity to address and mitigate its harm is lacking, primarily in low-income countries. Recent developments including new tools that can assess readiness for preventing alcohol-related harm, specifically in low-resource settings, can be used to determine strengths and opportunities for supporting, planning, and resource allocation. In this study, we determined the perceptions of readiness and capacity for the prevention of alcohol-related harm across East Africa among stakeholders engaged in such work. **Methods:** We conducted a cross-sectional survey in 2020, distributed by the East Africa Alcohol Policy Alliance to their member alliances and stakeholders across five countries in East Africa (i.e., Burundi, Kenya, Rwanda, Tanzania, and Uganda). The survey included modified measures from the Readiness Assessment for the Prevention of Child Maltreatment (RAP-CM) short form, organizational size and funding, research capacity and priorities, and perceptions related to alcohol prevention and harm both locally and in the region. Analyses were computed based on 142 persons/organizations completing the survey. **Results:** In terms of general readiness, the overall adjusted aggregate score for East Africa was 39.7% (ranging from 30.5% in Burundi to 47.9% in Kenya). Of the 10 domains assessed (on a 0–10 scale), across all countries, knowledge of alcohol prevention (8.43), institutional links and resources (6.15) and legislation, mandates and policies (5.46) received the highest scores. In contrast, measures pertaining to resources (i.e., material, human, technical, and informal) received the lowest score. **Conclusions:** Our results demonstrate substantial variability in the readiness to address alcohol-related harm across East Africa. The highest capacity was noted for knowledge towards alcohol prevention, institutional links, and legislative mandates and policies. However, important gaps were noted in terms of attitudes towards alcohol prevention, the will to address the problem, as well as material, human, and informal resources, which need to be urgently addressed to strengthen capacity for addressing and mitigating the significant toll of alcohol-related harm in the region.

**Keywords:** alcohol prevention; alcohol harm; research; capacity; stakeholder; academic-community partnership; East Africa

#### 1. Introduction

Alcohol-related harm represents an urgent global health concern that has not received adequate attention. Moreover, there is a disproportionate burden of alcohol-related harm in Africa, which is the WHO region with the highest alcohol-attributable burden of disease and injury [1]. Recent calls have been made to address the emerging risk factors that are associated with alcohol use and for African governments to be more proactive [2]. A recent

research highlights how the pandemic has affected access to alcohol treatment in West Africa, a low-resource setting. The impact of the COVID-19 pandemic on alcohol treatment and harm prevention activities in West Africa was analyzed data from a cross-sectional survey to understand their perceptions to convey a significant impact of 94% of participants reported that the pandemic has affected access to alcohol treatment and harm prevention activities in West Africa. The impact of the COVID-19 pandemic on alcohol treatment and harm prevention activities in West Africa was analyzed data from a cross-sectional survey to understand their perceptions to convey a significant impact of 94% of participants reported that the pandemic has affected access to alcohol treatment and harm prevention activities in West Africa.

### Community-Based Organizations and Governmental Organizations in Africa: Alcohol-Related Harm Prevention - Alcohol-Related Harm Prevention - Alcohol-Related Harm Prevention

Community-Based Organizations and Governmental Organizations in Africa: Alcohol-Related Harm Prevention - Alcohol-Related Harm Prevention - Alcohol-Related Harm Prevention

### Developing an alcohol harm prevention research agenda in West Africa: a mixed methods approach

Adelaide Balenger<sup>1</sup>, Franklin Umenze<sup>2,3</sup>, Emeka Dumbilli<sup>4,5</sup>, Binta Sako<sup>6</sup>, Isidore Obot<sup>7</sup>, and Monica H. Swahn<sup>1,8,\*</sup>



**Abstract:** Objective: Alcohol-related harm is a growing concern globally and particularly in West Africa. However, tools for assessing the readiness for prevention of alcohol-related harm in low-resource settings have been lacking. We modified the WHO tool, the Readiness Assessment for the Prevention of Child Maltreatment (RAP-CM), to assess readiness for the prevention of alcohol-related harm across West Africa. **Methods:** We conducted a cross-sectional survey in the fall of 2020, distributed by the West African Alcohol Policy Alliance to their member alliances and stakeholders, predominantly community-based organizations (CBOs) and non-governmental organizations (NGOs), across seven countries in West Africa (N = 140). The survey included modified measures from the RAP-CM short form. **Results:** In terms of general readiness, the overall adjusted aggregate score for West Africa was 40.0% (ranging from 42.9% in Liberia to 32.2% in Senegal). Of the ten domains assessed (on a 0–10 scale), across all countries, knowledge of alcohol-related harm prevention (8.3) and legislation, mandates, and policies (6.7) received the highest readiness scores. The lowest readiness score was observed for human and technical resources (2.5), attitudes toward preventing alcohol-related harm (2.7), and the will to address the problem (2.9). **Conclusions:** Our results demonstrate substantial variability across domains in the readiness to address alcohol-related harm, with clear strengths and limitations for future priority setting and capacity building. The barriers to program include attitudes towards alcohol-related harm prevention, lack of willingness to address the problem, and limited human and technical resources available. These barriers need to be mitigated to address the high burden of alcohol-related harm in the region and to inform both practice and policy.

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To mitigate the knowledge gaps and address the barriers to alcohol prevention, a cross-sectional survey was conducted in West Africa. The survey included modified measures from the RAP-CM short form, organizational size and funding, research capacity and priorities, and perceptions related to alcohol prevention and harm both locally and in the region. Analyses were computed based on 142 persons/organizations completing the survey. Results: In terms of general readiness, the overall adjusted aggregate score for East Africa was 39.7% (ranging from 30.5% in Burundi to 47.9% in Kenya). Of the 10 domains assessed (on a 0–10 scale), across all countries, knowledge of alcohol prevention (8.43), institutional links and resources (6.15) and legislation, mandates and policies (5.46) received the highest scores. In contrast, measures pertaining to resources (i.e., material, human, technical, and informal) received the lowest score. Conclusions: Our results demonstrate substantial variability in the readiness to address alcohol-related harm across East Africa. The highest capacity was noted for knowledge towards alcohol prevention, institutional links, and legislative mandates and policies. However, important gaps were noted in terms of attitudes towards alcohol prevention, the will to address the problem, as well as material, human, and informal resources, which need to be urgently addressed to strengthen capacity for addressing and mitigating the significant toll of alcohol-related harm in the region.



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Guest Editors: Monica Swahn, Eva Braaten, Sawitri Assanangkornchai, Kristina Sperkova, Joel Francis, Sebastián Peña



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Alcohol Prevention Research and Policy Development in LMICs: Facilitating Partnerships, Capacity and Impact

To accelerate engagement and dissemination of alcohol research and capacity building for the prevention of alcohol-related harm, in low-resource settings, we will host a special issue of IJADR.

This issue will be devoted to capacity building for:

- alcohol prevention research,
- alcohol policy development, and
- evaluation of alcohol control or policy measures.

For this special issue we welcome interdisciplinary, theoretical, qualitative and quantitative research papers as well as commentaries and reviews.

Topics for inclusion in the special issue can include, but are not limited to:

- partnership building,
- academic and NGO engagement,
- civil society responses to alcohol prevention and harm mitigation,
- tool development, and
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Manuscripts will be accepted until **April 30th, 2023** and should be submitted on the website and follow the journal guidelines (see instructions for authors): <https://ijadr.org/index.php/ijadr/about/submissions>

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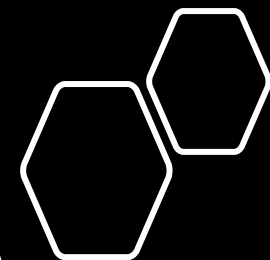
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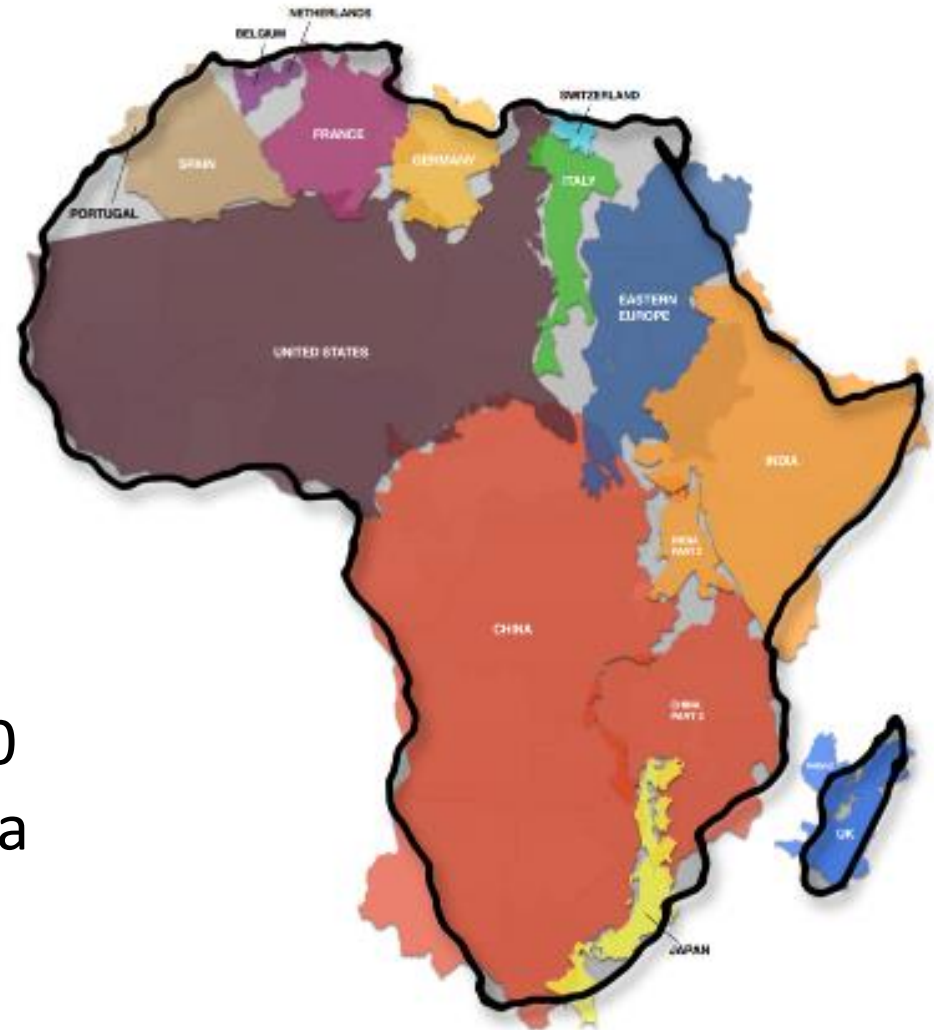
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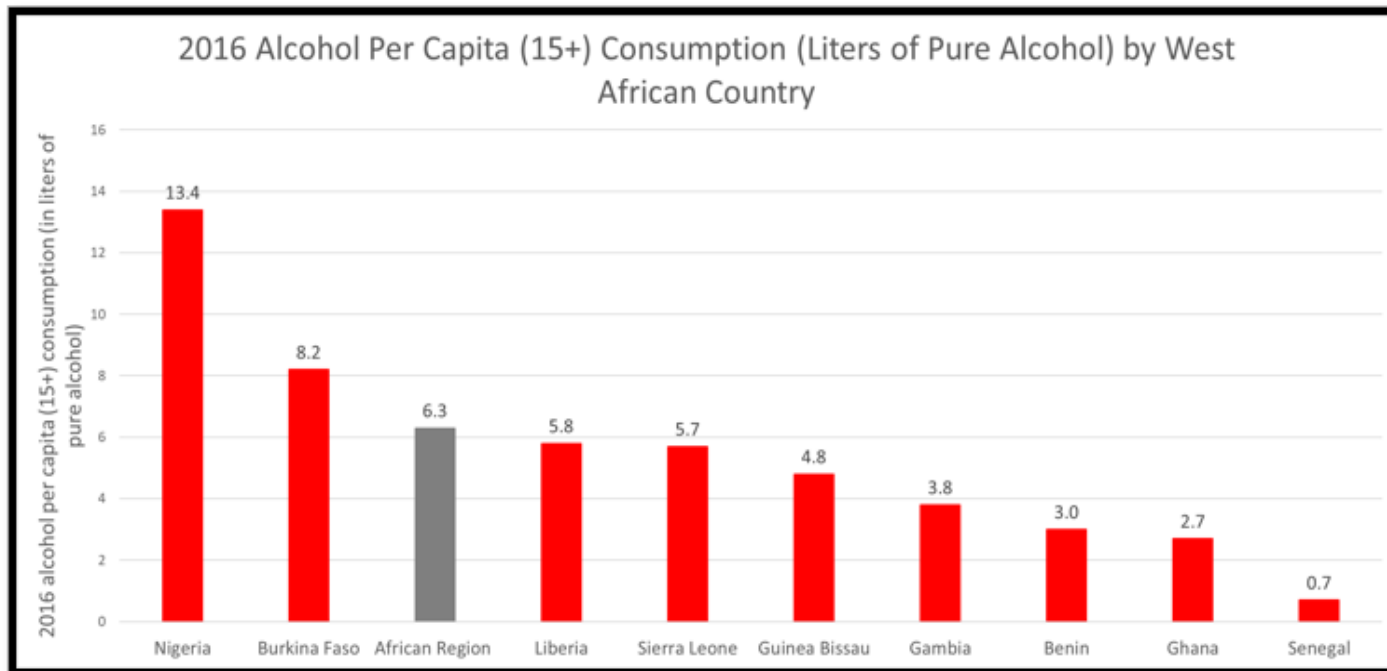
# The Vast Continent of Africa



- Population: 1.3 Billion
- Countries: 54+
- Languages: 1,500-2,000
- 20% of earth's land area

## Background: WHO Global Status Report on Alcohol & Health

- Prevalence of alcohol use varies widely across the West African region



Data source: World Health Organization. (2018). Global status report on alcohol and health 2018. <https://apps.who.int/iris/rest/bitstreams/1151838/retrieve>

**Table 1**

Mean dimension and overall adjusted aggregate scores\* of the readiness assessment for prevention of alcohol-related harm (RAP-ARH) using a 10-point scale across 10 domains (D1-D10) by country and for the West African Region (WAAPACAS).

	Burkina Faso	Gambia	Ghana	Liberia	Nigeria	Senegal	Sierra Leone	West Africa
D1: Attitudes towards alcohol-related harm prevention	5.0	2.8	2.9	1.7	2.4	3.7	3.0	2.7
D2: Knowledge of alcohol-related harm prevention	10.0	7.5	8.6	9.0	8.6	8.7	7.8	8.4
D3: Scientific data on alcohol-related harm prevention	5.0	3.3	4.9	2.0	4.7	4.5	3.9	3.9
D4: Current programs and evaluation	2.5	3.1	3.1	2.9	3.1	2.8	3.9	3.0
D5: Legislation, mandates, and policies	7.5	9.0	10.0	7.0	7.6	8.7	8.5	6.7
D6: Will to address the problem	5.0	2.9	3.7	1.4	2.3	4.0	3.6	2.9
D7: Institutional links and resources	5.0	5.2	5.4	5.8	5.8	5.6	6.0	5.8
D8: Material resources	5.0	5.0	6.7	5.0	5.7	5.5	5.6	5.5
D9: Human and technical resources	2.5	3.7	4.4	4.5	4.4	5.1	4.4	2.5
D10: Informal social resources	2.5	4.3	2.8	3.6	3.1	3.9	5.4	4.1
Overall Adjusted Aggregate Score %:	50.0	46.8	52.5	42.9	47.9	52.7	52.1	45.0

\*Scores computed per the WHO RAP-CM short form guidelines and scores for each Domain can range from 0 to 10. The total aggregate score ranges from 0 to 100.



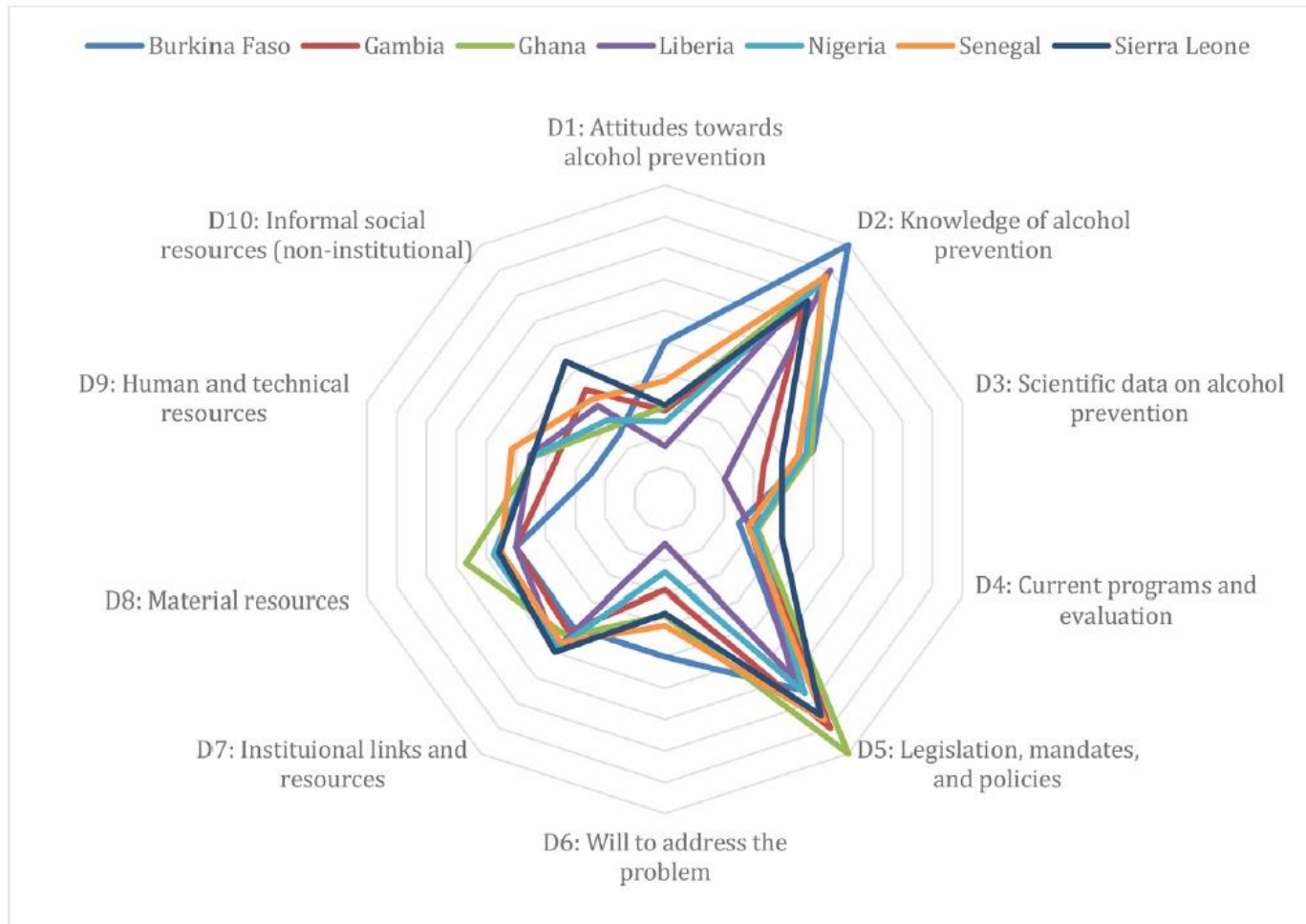


Fig. 1. Mean dimension scores from the readiness assessment for prevention of alcohol-related harm (RAP-ARH) using a 10-point scale (0-10) across 10 domains (D1-D10) in 7 countries (WAAPACAS).

## Adjustments & Scoring of the RAP-ARH

- The research team closely reviewed all the survey questions in the RAP-CM and replaced any term reflecting child maltreatment with “alcohol-related harm” and made minor editorial changes as needed to create the Readiness Assessment for the Prevention of Alcohol-Related Harm (RAP-ARH).
- We made a few modifications to the formatting of the response options to facilitate the online survey distribution. The original RAP-CM short form tool is comprised of 19 survey questions, 14 of which are presented with categorical response options, two with open-ended “write-in” responses, and three where participants are encouraged to write in and list names of programs, names of institutions, and specific partner- ships.
- As used with the RAP-CM scoring, our approach was divided into a 10- dimension model; 1) attitudes toward alcohol-related harm prevention, 2) knowledge of alcohol- related harm prevention, 3) scientific data on alcohol- related harm prevention, 4) current programs and evaluation, 5) legislation, mandates, and policies; 6) will to address the problem, 7) institutional links and resources, 8) material resources; 9) human and technical resources, 10) informal social resources (non-institutional) (WHO, 2013; WHO n.d.-b ).

**Chart 2:** The SAFER Initiative Outlines 5 Key Priorities –Which do you think are the most important in your country?

